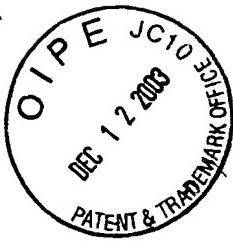


#3 / A M d G w/E  
T. McBeth Brown  
12/17/03



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## AMENDMENT

Applicant: Blais Docket No.: ROC920000095US1  
Serial No.: 09/657,501 Group Art Unit: 2126  
Filed: 09/08/00 Examiner: HOANG, PHUONG N.

TITLE: METHOD AND APPARATUS FOR CREATING AND ENFORCING  
PROTECTED SYSTEM LEVEL JAVA CODE

Mail Stop NON-FEE AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED

DEC 15 2003

Technology Center 2100

Sir:

In response to the office action dated September 11, 2003, please amend the subject patent application as follows.

### IN THE SPECIFICATION

Please amend the paragraph at p. 4 lines 15-17 as follows:

FIG. 6 is a flow diagram of a method in accordance with the preferred embodiments for a class loader to perform the step of loading a class in step [530] 520 of FIG. 5;

Please amend the paragraph at p. 4 lines 24-25 as follows:

FIG. 10 is a flow diagram of one suitable method for performing the runtime checks in step [550] 540 of FIG. 5;

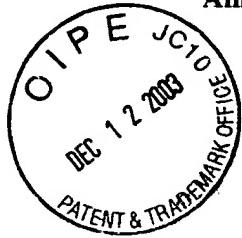
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2126

## Amendment Transmittal

Applicant: Blais  
 Serial No.: 09/657,501  
 Filed: 09/08/00

Docket No.: ROC920000095US1  
 Group Art Unit: 2126  
 Examiner: HOANG, PHUONG  
 N.



TITLE: METHOD AND APPARATUS FOR CREATING AND ENFORCING  
 PROTECTED SYSTEM LEVEL JAVA CODE

Mail Stop NON-FEE AMENDMENT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

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DEC 15 2003

Technology Center 2100

Sir:

Transmitted herewith for filing is an Amendment in the above-identified Application.

- No additional Fee is Due.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0465 for IBM Corporation.
- Any additional filing fees required under 37 C.F.R. §1.16.
- Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

MARTIN & ASSOCIATES, L.L.C.  
 P.O. Box 548  
 Carthage, MO 64836-0548  
 (417) 358-4700

By Derek P. Martin  
 Derek P. Martin  
 Registration No.: 36,595

I HEREBY CERTIFY THAT, ON THE DATE SHOWN BELOW, THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE, POSTAGE PAID, AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP NON-FEE AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ARLINGTON, VA 22313-1450

DATE: December 10, 2003

SIGNED: Derek P. Martin